

Please type a plus sign (+) inside this box → ☐

Under the Patent and Trademark Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/21 (6/98)
Approved for use through 9/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

| | |
|----------------------|---------------------|
| Application No. | 10/617,327 |
| Filing Date | July 9, 2003 |
| First Named Inventor | Isaac J. William |
| Group Art Unit | 3627 |
| Examiner Name | Fishetti, Joseph A. |
| Attorney Docket No. | OR01-17301 |

Total Number of Pages in this Submission:

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
 - ☒ Fee attached
- ☐ Amendment/Response
 - ☐ After Final
 - ☐ Affidavit/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts Notice/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☒ Petition to Correct Inventorship:
Petition under 37 CFR § 1.48;
Statement by Omitted Inventors;
Consent of Assignee; and
Combined Declaration and Power of Attorney by Added Inventor

- ☒ Assignment Papers for an application
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney by Assignee, with Revocation of Former Powers
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After-Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s):
 - ☒ Return Receipt Postcard
 - ☒ Check for \$ 130.00
 - ☐ _____

Remarks:

A Petition to Correct Inventorship is enclosed (to add an inventor). In addition, Assignment papers from the added inventor are also enclosed.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| | | | |
|-----------|--|-----------|------------------|
| Name | Edward J. Grundler (Registration No. 47,615) | Date | January 14, 2005 |
| Signature | | Telephone | 530/759-1663 |
| Address | 2820 Fifth Street, Davis, CA 95616 | Facsimile | 530/759-1665 |

CERTIFICATE OF MAILING

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6/98)

Approved for use through 9/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

I hereby certify that this correspondence is being deposited with the U. S. Postal Service as ☐ Express Mail (No. EJ xxx yyy zzz US) or

☒ First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: January 14, 2005

| | | | |
|----------------------|----------------|-----------|----------------|
| Type or Printed Name | Jeannie Camara | Signature | Jeannie Camara |
|----------------------|----------------|-----------|----------------|

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL**For FY 1999**

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement.

Otherwise large entity fees must be paid. See Forms PTO/SD/09-12.

See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number 10/617,327

Filing Date July 9, 2003

First Named Inventor Isaac J. William

Examiner Name Fishetti, Joseph A.

Group / Art Unit 3627

Attorney Docket No. OR01-17301

METHOD OF PAYMENT (check one)

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 50-1003
Deposit Account Name Park, Vaughan & Fleming LLP

- ☒
- Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐
- Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

- 2.
- ☒
- Payment Enclosed:
-
- ☒
- Check
- ☐
- Money Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity Code (\$) | Small Entity Code (\$) | Fee Description | Fee Paid | |
|------------------------|------------------------|-----------------|------------------------|--|
| 101 | 760 | 201 380 | Utility filing fee | |
| 106 | 310 | 206 155 | Design filing fee | |
| 107 | 480 | 207 240 | Plant filing fee | |
| 108 | 760 | 208 380 | Reissue filing fee | |
| 114 | 150 | 214 75 | Provisional filing fee | |

SUBTOTAL (1)

(\$ 0)

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** = | X | = |
| Multiple Dependent | -3** = | X | = |

**or number previously paid, if greater; For Reissues, see below

| Large Entity Code (\$) | Small Entity Code (\$) | Fee Description |
|------------------------|------------------------|-----------------|
| 103 | 18 | 203 9 |
| 102 | 78 | 202 39 |
| 104 | 260 | 204 130 |
| 109 | 78 | 209 39 |
| 110 | 18 | 210 9 |

SUBTOTAL (2)

(\$ 0)

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid | |
|---------------|---------------|-----------------|--|-----|
| 105 | 130 | 205 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 130 | Non-English specification | |
| 147 | 2520 | 147 2520 | For filing a request for reexamination | |
| 112 | 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1840* | 113 1840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 55 | Extension for reply within first month | |
| 116 | 380 | 216 190 | Extension for reply within second month | |
| 117 | 870 | 217 435 | Extension for reply within third month | |
| 118 | 1360 | 218 680 | Extension for reply within fourth month | |
| 128 | 1850 | 228 925 | Extension for reply within fifth month | |
| 119 | 300 | 219 150 | Notice of Appeal | |
| 120 | 300 | 220 150 | Filing a brief in support of an appeal | |
| 121 | 260 | 221 130 | Request for oral hearing | |
| 138 | 1510 | 138 1510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 55 | Petition to revive - unavoidable | |
| 141 | 1210 | 241 605 | Petition to revive - unintentional | |
| 142 | 1210 | 242 605 | Utility issue fee (or reissue) | |
| 143 | 430 | 243 215 | Design issue fee | |
| 144 | 580 | 244 290 | Plant issue fee | |
| 122 | 130 | 122 130 | Petitions to the Commissioner | 130 |
| 123 | 50 | 123 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 760 | 246 380 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 760 | 249 380 | For each additional invention to be examined (37 CFR 1.129(b)) | |

Other fee (specify)

Other fee (specify)

SUBTOTAL (3)

(\$ 130)

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name Edward J. Grundler

Signature

Date

January 14, 2005

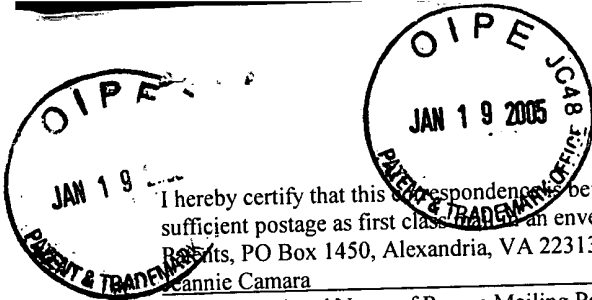
Telephone

530/759-1663

Complete (if applicable)

Reg. Number

47,615



Patent Application
Docket No. OR01-17301

TPW
8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class ~~mail~~ in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on January 14, 2005
Gannie Camara

(Typed or Printed Name of Person Mailing Paper or Fee)

Gannie Camara
(Signature of Person Mailing Paper or Fee)

Docket No. OR01-17301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)

Isaac J. William et al)

Group Art Unit: 3627

Serial No. 10/617,327)

Examiner: Fishetti, Joseph A.

Filed: July 9, 2003)

For: **DETERMING TAXES BY**)
APPLYING TAX RULES)
SPECIFIED USING)
CONFIGURABLE TEMPLATES)

01/24/2005 FMEK11 00000006 10617327

01 FC:1464

130.00 OP

PETITION FOR CORRECTION OF INVENTORSHIP
PURSUANT TO 37 C.F.R. 1.48(a)

Assistant Commissioner for Patents
M/S: Customer Service Center
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. 1.48(a), correction of the inventorship of the above-identified non-provisional patent application is respectfully requested. Please amend the application to include the following inventor:

Paulo Back.

The foregoing inventor was not previously named through error without any deceptive intention on the part of the actual inventor or inventors. In support of this amendment, the following is included:

- ☒ Verified Statement (Declaration) Of Facts Supporting Request For Correction Of Inventorship, by the inventor or inventors being added.
- ☒ Oath or declaration by each actual inventor or inventors as required by 37 C.F.R. 1.63.
- ☒ Written consent of any assignee.
- ☒ Acknowledgement postcard.

In addition, the following is included:

- ☐ Assignment of Application for Patent.
- ☐ Recordation Form Cover Sheet.
- ☒ Pursuant to 37 C.F.R. 1.17(h), a check in the amount of \$130.00 for the statutory filing fee.
- ☒ The Commissioner is hereby authorized to credit overpayments and charge underpayments to/from Deposit Account No. 50-1003.

Respectfully submitted,

Dated: January 14, 2005

By: 

Edward J. Grundler

Registration No. 47,615

Edward J. Grundler
PARK, VAUGHAN & FLEMING LLP
2820 Fifth Street
Davis, CA 95616
Tel: (530) 759-1663
FAX: (530) 759-1665



Attorney Docket No. OR01-17301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Isaac J. William et al) Group Art Unit: 3627
Serial No. 10/617,327) Examiner: Fishetti, Joseph A.
Filed: July 9, 2003)
For: **DETERMING TAXES BY**)
APPLYING TAX RULES)
SPECIFIED USING)
CONFIGURABLE TEMPLATES)

STATEMENT UNDER 37 C.F.R. § 1.48 FROM
PERSON BEING ADDED AS AN INVENTOR

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.48, I, Paulo Back, hereby declare that the error in inventorship that is being corrected by my addition as an inventor occurred without deceptive intention on my part.

Date: 12/28/04

Signature: 

Please direct any telephone calls or correspondence concerning this statement to:

Edward J. Grundler
Park, Vaughan & Fleming LLP
508 Second St., Ste. 201
Davis, CA 95616-4692
(530) 759-1663



DECLARATION FOR PATENT APPLICATION

As a below named inventor(s), I hereby declare that:

My residence, mailing address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

"DETERMINING TAXES BY APPLYING TAX RULES SPECIFIED USING CONFIGURABLE
TEMPLATES"

the specification of which

X is attached hereto.
was filed on July 9, 2003 as
United States Application Number 10/617,327,
or PCT International Application Number _____,
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 (copy attached).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), on any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| <u>Prior Foreign Application(s)</u> | | | <u>Priority Claimed</u> | |
|-------------------------------------|--------------------|---------------------------------|-------------------------|----|
| _____ (Number) | _____ (Country) | _____ (Day/Month/Year Filed) | Yes | No |
| _____ (Number) | _____ (Country) | _____ (Day/Month/Year Filed) | Yes | No |
| _____ (Number) | _____ (Country) | _____ (Day/Month/Year Filed) | Yes | No |

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United

| | |
|-----------------------------|-----------------------------|
| <u>60,398,767</u> | <u>26 July 2002</u> |
| (Application Number) | (Filing Date) |
| <u> </u> | <u> </u> |
| (Application Number) | (Filing Date) |

| | | |
|----------------------|---------------|---|
| (Application Number) | (Filing Date) | (Status - patented, pending, abandoned) |
| (Application Number) | (Filing Date) | (Status - patented, pending, abandoned) |
| (Application Number) | (Filing Date) | (Status - patented, pending, abandoned) |

Mailing Address _____

Full Name of Second Inventor Harshavardhan Takle

Inventor's Signature *Harde* Date 01/11/05

Residence 860, Erickson Lane, Foster City, CA 94404 Citizenship India
(City, State) (Country)

Mailing Address Same As Above

Full Name of Third Inventor Alexander O. Fiteni

Inventor's Signature *[Signature]* Date 2004/12/28

Residence *Same as below* #3647 Catamaran Street, Foster City, CA 94404 Citizenship Canada
(City, State) (Country)

Mailing Address 30476 CARROLL AVE., HAYWARD, CA, 94544 *df 2004/12/28*

Full Name of Fourth Inventor Paulo Back

Inventor's Signature *Paulo Back* Date 12/28/04

Residence 3018 Whisperwave Circle, Redwood Shores, CA 94065 Citizenship Brazil
(City, State) (Country)

Mailing Address Same as above.

22835

22835

PATENT TRADEMARK OFFICE



22835

PATENT TRADEMARK OFFICE

Title 37, Code of Federal Regulations, Section 1.56
Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) Prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

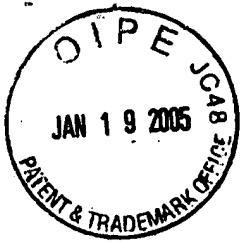
(ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within

the meaning of this section are:

- (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
 - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.



Patent Application
Docket No. OR01-17301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|--------------------------------|---|-------------------------------|
| <i>In re</i> Application of |) | |
| |) | |
| Isaac J. William et al |) | Group Art Unit: 3627 |
| |) | |
| Serial No. 10/617,327 |) | Examiner: Fishetti, Joseph A. |
| |) | |
| Filed: July 9, 2003 |) | |
| |) | |
| For: DETERMING TAXES BY |) | |
| APPLYING TAX RULES |) | |
| SPECIFIED USING |) | |
| CONFIGURABLE TEMPLATES |) | |

STATEMENT OF WRITTEN CONSENT OF ASSIGNEE
PURSUANT TO 37 C.F.R. 1.48(a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

By an Assignment, executed July 7, 2003, the business concern identified below asserts that it is the assignee ("Assignee") of the entire right, title, and interest in, to, and under the invention in and an application for a Patent of the United States, as identified above.

Applicant is petitioning the Commissioner, through a Request for Correction of Inventorship filed herewith, for the correction of the inventorship of said foregoing application by amending said application to name the following inventor:

Paulo Back.

Pursuant to 37 C.F.R. 1.48(a), Assignee hereby grants written consent and approval for the execution and filing of said foregoing Petition.

I am:

☐ the owner of the business concern identified below:
☒ an official of the business concern empowered to act on the behalf of the
concern identified below:

NAME OF CONCERN: Oracle International Corporation
ADDRESS OF CONCERN: 500 Oracle Parkway, Redwood Shores, CA 94065

NAME OF PERSON SIGNING: Daniel Cooperman
TITLE OF PERSON SIGNING
IF OTHER THAN OWNER: Chief Executive Officer and President
ADDRESS OF PERSON SIGNING: 500 Oracle Parkway, M/S 50P7, Redwood Shores,
California 94065

SIGNATURE:  DATE: 1/13/05

- (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
 - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.